

# Individual Account Application

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BlueInvest Capital is a trading name of Hogg Capital Investments Ltd, a fully licensed Class II Investment Firm (registration number C 18954) authorized and regulated in Malta by the Malta Financial Services Authority (MFSA) under the Investment Services Act and located at Nu Bis Centre, Mosta Road, Lija LJA9012, Malta. The Company is also authorized to passport its services in the following EU Member States:  
<https://www.mfsa.mt/financial-services-register/>

Address: Nu Bis Centre, Mosta Road, Lija LJA 9012, Malta  
E-mail: [info@blueinvestcapital.com](mailto:info@blueinvestcapital.com)  
Tel: +356 23273300  
Fax: +356 2134 2760  
Website: [www.blueinvestcapital.com](http://www.blueinvestcapital.com)

## BlueInvest Capital Account Registration

This form is for clients wishing to open an investment account with BlueInvest Capital/Hogg Capital Investments Limited (hereafter referred to as "the Company", or "BIC/HCI").

**Important Disclosure:** please be informed that BIC/HCI will not provide the services of reception and transmission of orders and execution of orders on behalf of clients. BIC/HCI will act as Introducing Broker only, in favour of Interactive Brokers Ireland Limited, an Investment Firm regulated by the Central Bank of Ireland (CBI, reference number C423427), registered with the Companies Registration Office (CRO, registration number 657406), and member of the Irish Investor Compensation Scheme (ICS), Registered Office: 10 Earlsfort Terrace, Dublin, D02 T380, Ireland (hereinafter referred to as "Interactive Brokers Ireland").

Please complete all sections in **BLOCK LETTERS**.

### Account Details

Country

Currency

EUR      USD      GBP

Partner name (if any)

### ASSET CLASS(ES) CONFIGURATION

Direct Securities      Managed Funds & ETFs      Options & CFD's  
Online Foreign Exchange Transactions      Complex Investment Products

### FOR INTERNAL USE

CLIENT(S) CLASSIFICATION      RETAIL      PROFESSIONAL

NEW CLIENT REFERENCE \_\_\_\_\_

NO. ACCOUNT MANAGER \_\_\_\_\_ DATE \_\_\_\_\_

## SECTION 1 PERSONAL DETAILS

**This section is mandatory and must be completed in full.** In order to open an account we are required to collect detailed financial and investment information from our retail clients.

Title                      Mr                      Mrs                      Ms                      Miss                      Other

Surname

First names

Please provide an address to enable us to forward you reports in a timely manner.

Home address

Postcode

Correspondence address if different

Postcode

Telephone numbers

Home

Office

Mobile

Fax

Email

Date of birth

Place of birth (town and country)

Nationality

Country of residence for tax purposes

Tax identification number

How many years have you lived at your present address

Marital Status

Maiden Name (where appropriate)

Preferred method of communication

E-MAIL

PHONE

FAX

POSTAL MAIL

Confirmation of your identity

### Identity and address verification

Anti-money laundering regulations require us to verify your identity and address. Please complete the fields below and provide us a color copy of your ID card or passport and a document which will evidence your permanent address, including a recent (not older than 3 months) utility bill (not a mobile phone bill), or a recent bank or credit card statement.

ID Type

ID Number

ID expiry date



**SECTION 3  
FINANCES**

**Your financial situation**

**INCOME**

Approximate regular annual earned income (before tax)

The source of your regular annual earned income

**YOUR SOURCE(S) OF WEALTH**

The term "source of wealth" refers to the economic activity which has generated and/or continues to generate your net worth. Please note that we may request that you provide additional information and/or documentation in support of your declarations below and in accordance with its on-boarding and due diligence procedures.

Past savings

Inheritance

Sale of property

Proceeds from investments

Other (please state)

**AVAILABLE FUNDS FOR TRADING**

**ANTICIPATED INITIAL DEPOSIT**

**YOUR SOURCE(S) OF FUNDS**

The term "source of funds" refers to the activity, event, business, occupation or employment from which your initial deposit and/or funds you have declared as available for trading are generated.

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**YOUR ORIGIN OF FUNDS**

This refers to where the funds for investment are being transferred from e.g. transfer from a bank account in your name, transfer of assets held to your account with another broker, etc.

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**SECTION 4**  
**THIRD PARTY AUTHORISATION**

Do you want us to provide information on your portfolio to third parties?

Yes No

If yes, please indicate below

General correspondence

Quarterly valuations & statements

Contract notes

Please provide contact details

Contact name \_\_\_\_\_

Role \_\_\_\_\_

Name of firm (if applicable) \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Email \_\_\_\_\_

Telephone \_\_\_\_\_

Do you want us to accept instructions from a third party?

Yes No

Please note that anti money laundering legislation requires us to obtain proof of identity / address documentation in respect of any third party that exercises control over the account. We may need to contact you for further information. We will only accept instructions from ONE third party.

Please provide contact details

Contact name \_\_\_\_\_

Role \_\_\_\_\_

Name of firm (if applicable) \_\_\_\_\_

Address \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Email \_\_\_\_\_

Telephone \_\_\_\_\_

## SECTION 5 ACCOUNT SET-UP

We can only transfer funds to bank accounts that we hold on our database. Without these details we cannot pay funds to your bank account directly.

Please complete this section in full

Bank account details

Name of Bank

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Address

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Postcode

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Name(s) of account holder(s)

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Account number / IBAN

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SWIFT / Sort Code

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## SECTION 6 COMPENSATION ACKNOWLEDGEMENT

I hereby authorize BIC/HCI to charge the trading commission and/or spread mark-up and any other fees as per remuneration schedule downloadable on a durable medium by this link: <https://www.blueinvestcapital.com/en/fees/> , accessible through the following credentials:  
Username: BicHciuser Password: BicHci2022

## SECTION 7 DECLARATION

**The account holder must sign and date this declaration**

I undertake to advise BIC/HCI of any change in circumstances which causes the information contained herein to become incorrect or incomplete and to provide BIC/HCI with an updated declaration of such change in circumstances within as reasonable a period of time as possible.

Clients' accounts held with Interactive Brokers Ireland:

I confirm that the Customer Agreement/Terms of Business document of the broker where my trading account will be held has been provided to me and I further confirm that its contents are duly noted, understood and accepted and hence will be duly adhered to for as long as this contract shall remain in force and as may occasionally be amended principally to reflect changes in legislation as it may impact upon prevailing financial services regulations or any other factors which may affect any of the terms and conditions contained within this contract and the basis of this professional relationship.

Name

Signature

Date

**SECTION 8  
AGREEMENTS AND ACKNOWLEDGEMENT**

BlueInvest Capital is acting as Introducing Broker only in the provision of its services to me. Such service is limited to assisting me in my interactions with Interactive Brokers Ireland, where the need arises.

Trading in derivative financial instruments is not equally suitable for all clients. The product is mainly directed at the experienced, independently acting investor. In this regard, the Applicant hereby declares the following:

Derivative products are suitable as part of my investment objectives and attitude towards risk and I am able to assess the risks involved in trading them.

All statements made by myself are true and complete. I confirm that the document that will be submitted have neither been tampered with nor digitally edited.

By completing this application form, accessing BlueInvest Capital website or other service we provide to you, you consent to BlueInvest Capital collecting, maintaining, using and disclosing personal information about you and provided by you as described in our Data Protection Policy.

**Client Agreement and Policies:** (please download each document on a durable medium through the relevant link, read it carefully and then accept it by flagging the appropriate tick box below):

"Appendix I. AML. STATEMENT"

[Link to PDF](#)

"Appendix III. PRIVACY POLICY & DATA PROTECTION"

[Link to PDF](#)

**BlueInvest Capital Newsletter**

Yes, I would like to receive BlueInvest Capital's latest Company News

Full Name

Signature

Date DD/MM/YYYY

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